



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2811

Examiner: Quang D. Vu

In re PATENT APPLICATION of

Applicant(s) : Kazutaka SHIBATA

Appln. No. : 10/608,016

Filed : June 30, 2003

For : SEMICONDUCTOR DEVICE AND  
MANUFACTURING METHOD  
THEREOF

Atty. Dkt. : AI 288

**INFORMATION  
DISCLOSURE  
STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This is an information disclosure statement submitted in compliance with the timing requirements of 37 C.F.R. §1.97(c), i.e., after a first Office Action on the merits but before Final Rejection and/or Notice of Allowance.

Attached is a copy of one Japanese patent publication corresponding to U.S. Patent Application Publication No. 2002-0041013 and cited in a Japanese Office Action dated January 31, 2006 in connection with a Japanese Patent Application No. 2002-230484. Any relevance of the publications may be gleaned from the published U.S. application. The documents are listed on the attached Form PTO-1449.

It is certified that each item of information contained in this Information Disclosure Statement was first cited in a communication from any foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

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February 13, 2006  
Date

SMR:pjl

**FEE ENCLOSED: \$6**  
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|   |    |                    |          |                                      |                 |                                      |                  |
|---|----|--------------------|----------|--------------------------------------|-----------------|--------------------------------------|------------------|
| <b>FORM PTO-1449</b><br><b>INFORMATION DISCLOSURE STATEMENT</b>   |    |                    |          | Atty Docket<br><b>AI 288</b>         |                 | Application No.<br><b>10/608,016</b> |                  |
|   |    |                    |          | Applicant<br><b>Kazutaka SHIBATA</b> |                 |                                      |                  |
|   |    |                    |          | Filing Date<br><b>June 30, 2003</b>  |                 | Group Unit<br><b>2811</b>            |                  |
| <b>U.S. PATENT DOCUMENTS</b>  |    |                    |          |                                      |                 |                                      |                  |
| Examiner<br>Initial   |    | Document<br>Number | Date     | Name                                 | Class           | Sub-<br>Class                        | Filing<br>Date   |
|   | AA | 2002/0041013       | 04/11/02 | WAKAMIYA et al.                      |                 |                                      |                  |
|   | AB |                    |          |                                      |                 |                                      |                  |
|   | AC |                    |          |                                      |                 |                                      |                  |
|   | AD |                    |          |                                      |                 |                                      |                  |
|   | AE |                    |          |                                      |                 |                                      |                  |
|   | AF |                    |          |                                      |                 |                                      |                  |
|   | AG |                    |          |                                      |                 |                                      |                  |
|   | AH |                    |          |                                      |                 |                                      |                  |
|   | AI |                    |          |                                      |                 |                                      |                  |
|   | AJ |                    |          |                                      |                 |                                      |                  |
|   | AK |                    |          |                                      |                 |                                      |                  |
| <b>FOREIGN PATENT DOCUMENTS</b>   |    |                    |          |                                      |                 |                                      |                  |
|   |    | Document<br>Number | Date     | Country                              | Class           | Sub-<br>Class                        | Trans-<br>lation |
|   | AL | 2002-118199        | 04/19/02 | Japan                                |                 |                                      |                  |
|   | AM |                    |          |                                      |                 |                                      |                  |
|   | AN |                    |          |                                      |                 |                                      |                  |
|   | AO |                    |          |                                      |                 |                                      |                  |
| <b>OTHER (Including Author, Title, Date, Pertinent Pages, etc.)</b>   |    |                    |          |                                      |                 |                                      |                  |
|   | AP |                    |          |                                      |                 |                                      |                  |
|   | AQ |                    |          |                                      |                 |                                      |                  |
|   | AR |                    |          |                                      |                 |                                      |                  |
| Examiner  |    |                    |          |                                      | Date Considered |                                      |                  |
| <b>EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant. |    |                    |          |                                      |                 |                                      |                  |